

# The Plateau problem for the Busemann–Hausdorff area in arbitrary codimension

Sven Pistre

Institute for  
Mathematics

RWTHAACHEN  
UNIVERSITY

## Introduction

In the classical Plateau problem one seeks minimal surfaces spanning a prescribed closed boundary contour  $\Gamma \subset \mathbb{R}^3$ . This can be achieved, e.g. by minimising the Euclidean area functional in a suitable class of parametric surfaces; see [4]. We investigate the Plateau problem in  $\mathbb{R}^n$  endowed with a Finsler structure and a particular notion of area.

Let  $\mathcal{N} = \mathcal{N}^n$  be a smooth  $n$ -dimensional manifold and  $T\mathcal{N}$  its tangent bundle. A non-negative function  $F: T\mathcal{N} \rightarrow [0, \infty)$  is a **Finsler metric** if the following three conditions are satisfied:

(F1) **Regularity**:  $F \in C^k(T\mathcal{N} \setminus o) \cap C^0(T\mathcal{N})$ ,  $k \in \mathbb{N} \cup \{+\infty\}$ ,  $k \geq 2$ .

(F2) **Positive homogeneity**:  $F(q, tv) = tF(q, v)$  for all  $t > 0$  and  $(q, v) \in T\mathcal{N}$ .

(F3) **Ellipticity**: The matrix  $g_{ij}^F(q, v) := (\frac{1}{2}F^2)_{y^i y^j}(q, v)$  is positive definite for any  $(q, v) \in T\mathcal{N} \setminus o$ .

The pair  $(\mathcal{N}, F)$  is a **Finsler manifold**. A Finsler metric is **reversible** if  $F(q, v) = F(q, -v)$  for all  $(q, v) \in T\mathcal{N}$ . Any  $C^k$ -immersion  $X: \mathcal{M}^m \rightarrow (\mathcal{N}^n, F)$  for  $0 < m \leq n$  and  $k \geq 2$  induces a **pull-back Finsler metric**  $X^*F$  on  $\mathcal{M}$  via

$$X^*F(p, v) := F(X(p), dX_p(v))$$

for  $(p, v) \in T\mathcal{M}$ . The  **$m$ -dimensional Busemann–Hausdorff volume form** on  $\mathcal{M}$  (w.r.t. the Finsler metric  $X^*F$  on  $\mathcal{M}$ ) is defined in local coordinates as  $dV_{X^*F}(p) := \sigma_{X^*F}(p) du^1 \wedge \dots \wedge du^m$  where

$$\sigma_{X^*F}(p) := \frac{\mathcal{H}^m(B_1^m(0))}{\mathcal{H}^m(\{v \in \mathbb{R}^m : F(X(p), v^\alpha dX_p(\frac{\partial}{\partial u^\alpha})|_p) < 1\})}. \quad (1)$$

The **Busemann–Hausdorff area of the immersion**  $X$  is then given by  $\text{area}_{\mathcal{M}}^F(X) := \int_{\mathcal{M}} dV_{X^*F}$ . Further, consider the  **$m$ -harmonic symmetrisation**  $F_{(m)}$  defined as the reversible function

$$F_{(m)}(q, v) := 2^{\frac{1}{m}} ((F(q, v))^{-m} + (F(q, -v))^{-m})^{-\frac{1}{m}}$$

for  $(q, v) \in T\mathcal{N} \setminus o$ . A reversible Finsler metric  $F$  coincides with its  $m$ -harmonic symmetrisation. However, in general,  $F_{(m)}$  is not a Finsler metric, in particular, (F3) need not be fulfilled. Therefore, we make the following **General Assumption**.

Let  $F$  be a Finsler metric on an  $n$ -dimensional smooth manifold  $\mathcal{N}$  such that its  $m$ -harmonic symmetrisation  $F_{(m)}$  is also a Finsler metric on  $\mathcal{N}$ . (GA)

## Main result

In order to state the existence result for the Finsler-Plateau problem for two-dimensional surfaces in any codimension we define for a given closed Jordan curve  $\Gamma \subset \mathbb{R}^n$  the class of admissible surfaces

$\mathcal{C}(\Gamma) := \{X \in W^{1,2}(B, \mathbb{R}^n) : X|_{\partial B} \text{ is a continuous and weakly monotonic parametrisation of } \Gamma\}$ .

**Theorem** (Plateau problem for Busemann–Hausdorff area).

Let  $F$  be a Finsler metric on  $\mathcal{N} = \mathbb{R}^n$  which satisfies (GA) for  $m = 2$  and assume in addition that

$$0 < c_F := \inf_{\mathbb{R}^n \times \mathbb{S}^{n-1}} F(\cdot, \cdot) \leq \sup_{\mathbb{R}^n \times \mathbb{S}^{n-1}} F(\cdot, \cdot) = \|F\|_{L^\infty(\mathbb{R}^n \times \mathbb{S}^{n-1})} =: C_F < \infty. \quad (D_F)$$

Then for any given rectifiable Jordan curve  $\Gamma \subset \mathbb{R}^n$  there exists a surface  $X \in \mathcal{C}(\Gamma)$ , such that

$$\text{area}_B^F(X) = \inf_{\mathcal{C}(\Gamma)} \text{area}_B^F(\cdot).$$

In addition, the minimiser  $X$  is Euclidean conformally parametrised on  $B$ , i.e.

$$\left| \frac{\partial X}{\partial u^1} \right|^2 = \left| \frac{\partial X}{\partial u^2} \right|^2 \quad \text{and} \quad \left\langle \frac{\partial X}{\partial u^1}, \frac{\partial X}{\partial u^2} \right\rangle_{\mathbb{R}^2} = 0 \quad \mathcal{H}^2\text{-a.e. on } B. \quad (\text{CONF})$$

Furthermore, the minimiser  $X$  has the following regularity property,

$$X \in C^0(\bar{B}, \mathbb{R}^n) \cap C^{0,\alpha}(B, \mathbb{R}^n) \cap W^{1,q}(B, \mathbb{R}^n) \quad (\text{R})$$

for  $\alpha := (\frac{c_F}{C_F})^2 \in (0, 1]$  and some  $q > 2$ .

The existence and regularity theory for the Plateau problem for a general class of **Cartan functionals** was developed by Hildebrandt and von der Mosel in a series of papers, see e.g. [5, 6]. We reformulate the Busemann–Hausdorff area functional and use the following general result to prove the main theorem above.

**Theorem** (Plateau problem for Cartan integrands, [5]).

Suppose  $I \in C^0(\mathbb{R}^n \times \mathbb{R}^2; \mathbb{R})$  is positively 1-homogeneous, convex in its second component and satisfies (D) below. Then for any given rectifiable Jordan curve  $\Gamma \subset \mathbb{R}^n$  there exists a surface  $X \in \mathcal{C}(\Gamma)$ , s. t.  $\mathcal{I}(X) = \inf_{\mathcal{C}(\Gamma)} \mathcal{I}(\cdot)$  where  $\mathcal{I}(X) := \int_B I(X, X_{u^1} \wedge X_{u^2}) du^1 \wedge du^2$ .

In addition, the minimiser  $X$  satisfies condition (CONF) and fulfils property (R) for  $\alpha := \frac{M_1}{M_2} \in (0, 1]$  and some  $q > 2$ .

## Idea of the proof

Rewrite the integrand (1) of the Busemann–Hausdorff area functional in terms of the mapping

$$a_m^F: \bigsqcup_{q \in \mathcal{N}} \bigwedge_s^m (T_q \mathcal{N}) \rightarrow \mathbb{R}_+, (q, w_1 \wedge w_2 \wedge \dots \wedge w_m) \mapsto \frac{\mathcal{H}^m(B_1^m(0))}{\mathcal{H}^m(\{v \in \mathbb{R}^m : F(q, v^\alpha w_\alpha) < 1\})},$$

where the set  $\bigwedge_s^m (T_q \mathcal{N})$  denotes the set of simple tangent  $m$ -vectors, s.t. the area functional takes the form

$$\text{area}_{\mathcal{M}}^F(X) = \int_{\mathcal{M}} a_m^F(X, dX(\frac{\partial}{\partial u^1}) \wedge \dots \wedge dX(\frac{\partial}{\partial u^m})) du^1 \wedge \dots \wedge du^m.$$

We show that the mapping  $a_m^F$  is

- **positively** (in fact, absolutely) **1-homogeneous** in its second component,
- **continuous** as a function on  $\mathbb{R}^n \times \mathbb{R}^{\binom{n}{m}}$  for  $\mathcal{N} = \mathbb{R}^n$ .

These properties identify  $a_m^F$  as a **Cartan integrand**. All existence results for minimisers of Cartan functionals require two additional conditions on the integrand.

- Firstly,  $a_m^F$  needs to be **positive definite**, i.e. there exist  $0 < M_1 \leq M_2$  s.t.

$$M_1 \| \tau \|_{g, \bigwedge_s^m (T_q \mathcal{N})} \leq a_m^F(q, \tau) \leq M_2 \| \tau \|_{g, \bigwedge_s^m (T_q \mathcal{N})} \quad \text{for all } (q, \tau) \in \bigwedge_s^m (T\mathcal{N}), \quad (\text{D})$$

where  $g$  is an arbitrary auxiliary Riemannian metric on  $\mathcal{M}$ .

Due to Overath [7] we have that for any  $(q, w_1 \wedge \dots \wedge w_m) \in \bigwedge_s^m (T\mathcal{N})$

$$a_m^F(q, w_1 \wedge w_2 \wedge \dots \wedge w_m) = \left( \frac{1}{\mathcal{H}^{m-1}(\mathbb{S}^{m-1})} \int_{\mathbb{S}^{m-1}} \frac{1}{(F(q, \theta^\alpha w_\alpha))^m} d\mathcal{H}^{m-1}(\theta) \right)^{-1}.$$

This can be used to transfer the  $L^\infty$ -bounds  $(D_F)$  on  $F$  to condition (D) for  $a_m^F$  by choosing  $M_i := c_i^m$  for  $i = 1, 2$ . Additionally, this shows that

$$\text{area}_{\mathcal{M}}^F(X) = \text{area}_{\mathcal{M}}^{F_{(m)}}(X). \quad (2)$$

- Secondly,  $a_m^F$  needs to be **convex** in its second component.

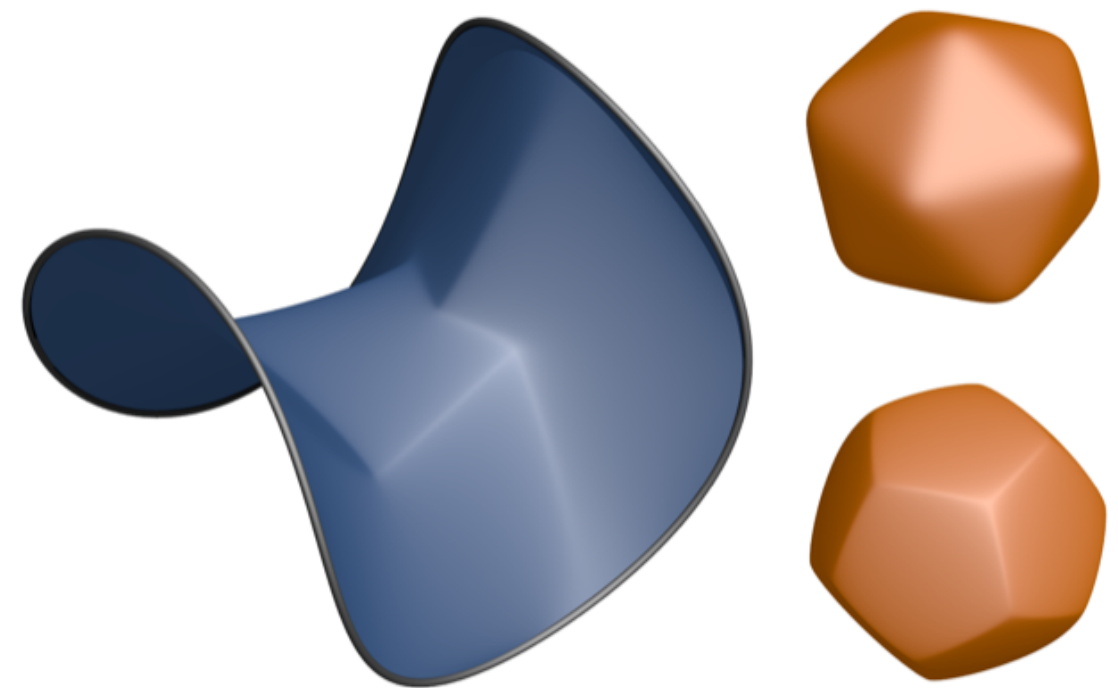
In codimension one and for reversible Finsler metrics, this is a classical result from convex analysis by Busemann.

More recently, Burago and Ivanov [3] have shown for  $m = 2$  and reversible  $F$

- that there exists **convex extension** of  $a_2^F$  to the vector bundle  $\bigwedge^2(T\mathcal{N})$  in any codimension,

which inherits the **absolute homogeneity** and **continuity**.

A different notion of volume introduced by Bernig [2] also contains this result as a special case. Finally, we use the existence result for Cartan functionals to solve the Plateau problem for the Busemann–Hausdorff area for reversible  $F$  and then use (2) to also show existence for irreversible Finsler metrics  $F$ .



Area minimising surface w.r.t. a Finsler metric whose unit ball is a smoothed icosahedron. (Courtesy of Henrik Schumacher)

## References

- [1] J. C. Álvarez Paiva and A. C. Thompson. Volumes on normed and Finsler spaces. In *A sampler of Riemann–Finsler geometry*, volume 50 of *Math. Sci. Res. Inst. Publ.*, pages 1–48. Cambridge Univ. Press, 2004.
- [2] A. Bernig. Centroid bodies and the convexity of area functionals. *J. Differential Geom.*, 98(3):357–373, 2014.
- [3] D. Burago and S. Ivanov. Minimality of planes in normed spaces. *Geom. Funct. Anal.*, 22(3):627–638, 2012.
- [4] U. Dierkes, S. Hildebrandt, and F. Sauvigny. *Minimal surfaces*, volume 339 of *Grundlehr. der Math. Wiss.* Springer, Heidelberg, second edition, 2010.
- [5] S. Hildebrandt and H. von der Mosel. On two-dimensional parametric variational problems. *Calc. Var. Part. Diff. Eq.*, 9(3):249–267, 1999.
- [6] S. Hildebrandt and H. von der Mosel. Dominance functions for parametric Lagrangians. In *Geometric analysis and nonlinear partial differential equations*, pages 297–326. Springer, Berlin, 2003.
- [7] P. Overath. *Minimal immersions in Finsler spaces*. PhD thesis, RWTH Aachen University, 2013.

S. Pistre and H. von der Mosel. The Plateau problem for the Busemann–Hausdorff area in arbitrary codimension. *European Journal of Mathematics*, 3(4):953–973, Dec 2017.

Advisor: Heiko von der Mosel

E-mail: pistre@instmath.rwth-aachen.de



FONDAZIONE  
**CIME**  
ROBERTO CONTI



Geometric Analysis  
CIME Summer School 2018  
Cetraro

June 18–22, 2018